

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Konrad WISSENBACH et al.

International Appln: PCT/DE03/002093

U.S. Application No.: Not Yet Assigned

For: METHOD FOR SMOOTHING AND
POLISHING SURFACES BY
TREATING THEM WITH
ENERGETIC RADIATION

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Atty. Docket No.: 31583-212399 RK

Customer No.

26694**PATENT TRADEMARK OFFICE****Information Disclosure Statement**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c).

In order to comply the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted herewith is a Form PTO/SB/08A listing the documents cited in the above international application, the International Search Report thereof, and in the two German Office Actions attached hereto, where the relevance of each document is indicated. Translations of the two German Office Actions are also attached hereto.

Copies of the documents cited in the International Search Report are not being provided since copies are furnished directly by WIPO under an exchange program between the PTO, the EPO and the JPO. A copy of the documents cited in each of the attached German Office Actions is enclosed.

If the copies of the documents cited in the International Search Report were not furnished and a copy of each cited document is desired, please inform the undersigned and a copy of the missing documents will be supplied.

In view of the above, no further statement of relevance need be given, and as all requirements of 37 C.F.R. § 1.97 and § 1.98, and all official guidelines pertaining to Information Disclosure Statements

Applicant(s): Konrad WISSENBACH et al.
Atty. Docket: 31583-212399 RK

have been complied with, it is respectfully requested that the Examiner consider the cited publications and make them of record.

Respectfully submitted,

Date: December 27, 2004

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Approved through 10/31/2002. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Application Number NOT YET ASSIGNED
Filing Date Concurrently
First Named Inventor Konrad WISENBACH et al.
Prior Group Art Unit Not Yet Assigned
Prior Examiner Name Not Yet Assigned
Attorney Docket Number 31583-212399 RK

DT05 Rec'd PCT/PTO 27 DEC 2004.

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office 3	Number ⁴	Kind Code ⁵ (if known)				
	AA	WO	96 31315		A. BESTENLEHRER	10/10/1996		
	AB	DE	43 20 408		FRAUNHOFER GES FORSCHUNG	12/22/1994		
	AC	EP	0 601 451		OPEL ADAM AG/ GEN MOTORS ESPANA	6/15/1994		
	AD	DE	197 06 833		BERGMANN et al.	9/3/1998		
	AE	WO	0 230 328		A. FLANAGAN	4/18/2002		
	AF	EP	0 819 036		A. BESTENLEHRER	1/21/1998		
	AG	DE	42 41 527		BARTON	6/16/1994		

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
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Sheet 2 of 2

Complete if Known

Application Number	NOT YET ASSIGNED
Filing Date	Concurrently
First Named Inventor	Konrad WISSENBAACH et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	31583-212399 RK

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AH	K. SRIDHAR et al., Naval Materials Research Laboratory, "FORMATION OF HIGHLY CORRSION RESISTANT STAINLESS STEEL SURFACE ALLOYS FOR MARINE ENVIRONMENTS BY LASER SURFACE ALLOYING," Corrosion 1998, pages 705/1-705-14.....	

Examiner Signature		Date Considered	
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